Express Mail Label: EV054296520US Attorney Docket No.: 21402-099 Date of Deposit: February 4, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Burgess, et al. PPLICANTS:

AL NUMBER: 09/939,853

EXAMINER:

Not Yet Ass

FILING DATE:

August 27, 2001

ART UNIT:

1646

FOR:

Novel Proteins and Nucleic Acids Encoding Same

BOX IDS

Assistant Commissioner for Patents Washington, D.C. 20231



TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- 1. Information Disclosure Statement (1 page);
- 2. Modified Form 1449/PTO (7 pages), in duplicate;
- 3. Cited References B1-B3 and C1-C210; and
- 4. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any additional fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 21402-099 (Cura-399). A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted.

Ivor R. Elrifi, Reg. No. 39,529

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C.

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Dated: February 4, 2002

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Express Mail Label No.: EV054296520US Attorney Docket No.: 21402-099
Date of Deposit: February 4, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449 (submitted in duplicate) in the above-identified application, copies of which are submitted herewith. Please note due to its volume only the first page of reference B2 (EP1074617) is being submitted. A copy of the patent can be furnished upon the request of the Examiner. The order of presentation of the references should not be construed as an indication of the importance of the references.

This Information Disclosure Statement is being filed before the mailing date of an Office Action on the merits in the above-identified application. Accordingly, no fee or certification is believed due at this time.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed Form PTO-1449 to evidence that the cited information has been fully considered by the PATENT AND TRADEMARK OFFICE during the examination of this application.

Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311 (Reference No. 21402-099).

Respectfully submitted,

Ivor R. Elrifi Registration No. 39,529

Attorney for the Applicants

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	09/939,853		
Filing Date	08/27/01		
First Named Inventor	Burgess		
Group Art Unit	1646		
Examiner Name	Not Yet Assigned		
Attorney Docket Number	21402-099		

(use as many sheets as necessary)

	U.S. PATENT DOCUMENTS						
Exam Initials							

FOREIGN PATENT DOCUMENTS						
Exam Initials	Cite No.	Foreig Office	gn Patent Document Number	Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes No
	B1	EP	1033405	Ceres Incorporated	09/06/2000	
	B2	EP	1074617	Helix Research Institute (First Page Only)	02/07/2001	
	В3	EP	1033401	Genset	09/06/2000	

	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
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	C1	GenBank Accession Number: CAB01233 (06/20/01).			
	C2	SWALL (SPTR) Accession Number: Q9N4G7 (10/01/00).			
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	C6	GenBank Accession Number: AAF51854 (10/04/00).			
	C7	GenBank Accession Number: AAF52569 (10/04/00).			
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	С9	GenBank Accession Number: AAF55108 (10/05/00).			
	C10	GenBank Accession Number: AAF58048 (10/04/00).			
	C11	GenBank Accession Number: AAF59281 (10/04/00).			
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	C13	GenBank Accession Number: AE003619 (10/04/00).			
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	C17	GenBank Accession Number: AE003842 (10/04/00).			
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	C 172	SWALL (SPTR) Accession Number: Q60898 (11/01/96).
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* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application,					
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35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).					

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